

Amendments to the Claims

Please amend claims 1, 12 and 17. The Claim Listing below will replace all prior versions of the claims in the application:

Listing of claims:

1. (Currently amended) An integrated system for providing financial services comprising:
 - at least one alert device comprising a central processing unit;
 - at least one host server comprising a real-time investor monitoring system, the at least one host server connected to the at least one alert device and an online investor transaction server system over a communication system, the online investor transaction server system enabling investors to conduct investor mediated transactions, the investor mediated transactions comprising orders to trade financial instruments;
 - the real-time investor monitoring system receiving real-time data from the online investor transaction server system regarding the state of at least one investor mediated transaction conducted by an investor using the online transaction system, the real-time investor monitoring system transmitting the real-time data to the at least one alert device operated by a third party; and
 - the at least one alert device presenting the real-time data as an alert to enable third party intervention in the at least one investor-mediated transaction.
2. (Previously presented) The integrated system as recited by claim 1, further comprising:
 - an application interface displayed on a video display of the at least one alert device for accessing a plurality of finance-related software applications on the at least one host server, the plurality of finance-related software applications comprising a real-time market data application, a financial planning application and at least one finance-related software application selected from the group consisting of: a calculator application; a client information application; an opportunities application; an investment products application; an investment research application; and an office productivity application.

3. (Canceled)
4. (Previously presented) The integrated system as recited by claim 2, wherein the real-time market data application provides real-time market data comprising at least one of: quotes, news, and historical and intraday charting.
5. (Previously presented) The integrated system as recited by claim 2, wherein the real-time market data application provides a valuation ratings for at least one financial instrument.
6. (Previously presented) The integrated system as recited by claim 2, wherein the application interface further includes a scratchpad application for moving information between applications.
7. (Previously presented) The integrated system as recited by claim 1, wherein the communication system connects the at least one alert device to the at least one host server via the Internet.
8. (Canceled)
9. (Original) The integrated system as recited by claim 1, further comprising an authentication system for determining user entitlements and accessing a user preference profile.
10. (Original) The integrated system as recited by claim 9, wherein the authentication system populates the application interface based on the user entitlements.
11. (Original) The integrated system as recited by claim 9, wherein the authentication system provides access to all applications using a single logon process.

12. (Currently amended) An alert device operated by a third party for providing financial services, the alert device operably connected to at least one host server over a communication system, the at least one host server comprising a real-time investor monitoring system that receives real-time data from an online investor transaction server system regarding the state of at least one investor mediated transaction conducted by an investor using the online investor transaction server system, the online investor transaction server system enabling investors to conduct investor mediated transactions, the investor mediated transactions comprising orders to trade financial instruments, the alert device comprising:
- a central processing unit;
 - the central processing unit receiving the real-time data from the real-time investor monitoring system regarding the state of the at least one investor mediated transaction and presenting the real-time data as an alert to enable third party intervention in the at least one investor-mediated transaction.
13. (Previously presented) The alert device as recited by claim 12, further comprising:
- a video display;
 - the central processing units causing the display of an application interface on a video display to access at least one finance-related software application, the financial-related software application comprising at least one finance-related software application selected from the group consisting of: a calculator application; a client information application; an opportunities application; an investment products application; an investment research application; and an office productivity application.
14. through 16. (Canceled)
17. (Currently amended) A host server for providing financial services comprising:
- a central processing unit programmed to connect to at least one alert device and an online investor transaction server system over a communication system, the online investor transaction server system enabling investors to conduct investor mediated

transactions, the investor mediated transactions comprising orders to trade financial instruments; and

the central processing unit being programmed to execute a real-time investor monitoring system that receives real-time data from the online investor transaction server system regarding the state of at least one investor mediated transaction conducted by an investor using the online investor transaction server system and that transmits the real-time data as an alert to the at least one alert device operated by a third party to enable third party intervention in the at least one investor-mediated transaction.

18. (Previously presented) The host server of claim 17 wherein the central processing unit of the host server is programmed to execute the real-time investor monitoring system to receive at least one transaction order relating to the at least one investor mediated transaction as input from the alert device and to enter the transaction order into the online investor transaction server system on behalf of the investor.
19. (Previously presented) The alert device of claim 12 wherein the central processing unit of the alert device is programmed to transmit at least one transaction order to the real-time investor monitoring system of the at least one host server, thereby causing the real-time investor monitoring system to enter the at least one transaction order into the online investor transaction server system on behalf of the investor, the at least one transaction order relating to the at least one investor mediated transaction.
20. (Previously presented) The integrated system of claim 1 wherein the real-time investor monitoring system receives at least one transaction order relating to the at least one investor mediated transaction as input from the alert device and enters the transaction order into the online investor transaction server system on behalf of the investor.